### RULE 63 (37 C.F.R. 1.63) DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby deciare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which

		^	Real-Time Computer which is ela Real-Time Computer which (CHECK application)	imed and for which a pater "Garbage Collector"	nt is sought on the invention	on entitle
	apoortio		winen (CHECK applica)	ole BOX(ES)).		
_ is attached hereto.						
X was filed on July 1	3, 1994 as	U.S.app	lication Serial No. <u>08/2</u>	74,923		
annicable to U.S. on Di	international	applicat	ion No.PCT/	/ on _		and (
applicable to U.S. or Pe	C i applicatio	on) was a	mended on	·		_ `
the examination of this hereof. I hereby claim certificate listed below a (1) before that of the app	application in foreign price and have also polication on v	n accords ority ben- identification	ance with 37 C.F.R. 1. efits under 35 U.S.C.	above-identified specification knowledge the duty to discled 56(a) and 35 U.S.C. 102 to 119/365 of any foreign application for patent or invertified to if no priority claimed, before the before the to be the discrete the specification of the specification	ose information which is n both as set forth on the re- plication(s) for patent or i	naterial t verse sid inventor
PRIOR FOREIGN APP	LICATION(	S)				
Number	Country	D	ay/MONTH/Year Filed		PRIORITY CI	LAIMEI
<u></u>		2	TANADIATAN LEGIL Filed		<u>YES</u> <u>N</u>	<u>o</u>
the first paragraph of 35 occurred between the fill PRIOR U.S. OR PCT A	U.S.C. 112, ing date of th	I acknowne prior	vies application is not (	es and PCT international ardisclosed in such prior applose material information as ional or PCT international	ications in the manner pro	vided by
<del>-</del>					· Status -	
Application Serial No.		D	ay/MONTH/Year		Status: p pending, abando	
Li.				are true and that all stateme		
Registration No. 33,937; Registration No. 30,244;	Gary Frisch and Steven S	ling, Reg	gistration No. 35,515; F	, Suite 900, Los Angeles, C Robert Steinberg, Registrati Robert Strawbrich, Registra 360 (all of the same addres connected therewith and with	on No. 33,144; Bruce D. I tion No. 36,692; Jeffrey L	Kuyper,
		1 //		The state of the s		
INVENTOR'S SIGNATURI Inventor's Name (typed)	Wade		<del>-//;</del>	Date	9/14/94	
	First	MI	Hennessey Family Name	United States Citizenship		
Residence Palo Alto				•		
Post Office Address	Include Zip Co	de) 1085 F	Stue Tanland Drive, Palo Alto	eign Country) California		
			Tamana Dilve, Palo And	, Cantornia 94303		
?) INVENTOR'S SIGNATURE Inventor's Name (typed)				Date		
(3)	First	MI	Family Name	Citizenship		
Darid				Citizenship		
Residence Post Office Address (	Include Zin Coo	le)	(State/Forei	gn Country)		
				<del></del>		
) INVENTOR'S SIGNATURE Inventor's Name (typed)				Date		
	First	<u>MI</u>	Family Name			
<b>b</b> :			ratiny ratie	Citizenship		
Residence Post Office Address (	Include Zin Cod	e	(State/Foreig	gn Country)		
INVENTOR'S SIGNATURE Inventor's Name (typed)				Date		
••••	First	MI	Family Name	Citizenship		
Residence			•	_^		
Post Office Address (I	nclude Zip Cod	e)	(State/Fo	reign Country)		
OT ADDITIONAL INVENTO			TTACH SECOND SHEET			
C1E10471 NO					•	

3)







### ASSIGNMENT

WHEREAS, KALEIDA LABS, INC. a Delaware corporation ("Assignor") is the owner of the patents and patent applications listed in Appendix A attached hereto, and is the owner of the inventions disclosed in all of the patents and patent applications listed in Appendix A (the "Patent Rights"); and

WHEREAS, OBJECT TECHNOLOGY LICENSING CORPORATION, a Delaware corporation ("Assignee") desires to acquire the entire right, title, and interest in and to the Patent Rights;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor sells, assigns and transfers to Assignee, its successors and assigns, its entire right, title and interest in and to the Patent Rights throughout the world, to be held and enjoyed by Assignee, its successors and assigns as fully and entirely as the same would have been held and enjoyed by Assignor had this sale and assignment not been made, subject to all licenses previously granted by Assignor.

Assignor agrees that Assignee may apply for and receive patents for said Patent Rights in its own name. Assignor agrees that on request and without further consideration, but at the expense of Assignee, Assignor will communicate to Assignee, its successors, assigns and legal representatives, any facts known to and any documents in the possession of Assignor respecting the Patent Rights, Assignor agrees to testify in any legal proceeding, sign all lawful papers, execute all patent applications, make all rightful oaths, and generally aid Assignee, its successors, assigns and legal representatives, to obtain and enforce proper patent protection for any of the Patent Rights in all countries of the world, and Assignor will direct and cause its current employees, prior employees and contractors to do all of the above to the extent said employees and contractors have a contractual obligation with Assignor to do so.

# REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

TBA
December 14, 2001
Hennessey
ТВА
TBA
3048-7049US1

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. §122(b).

This request is signed in compliance with 37 C.F.R. §1.33(b) and is being submitted with the application at the time of filing.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED						
Signature	\512	Date December 14, 2001				
≟Name (Print/Type)	John E. Hoel	Reg. No. (Atty/Agent) 26,279				

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. §122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. §122(b)(2)(B)(iii)).

# TOTHE RALBA PARK POAROLO DE

# APPENDIX A

COUNTRY	DOCKET#	STATUS	SERIAL #	FILMO DATE	PATENT .	<b>BSUE DATE</b>	TIME
3	K-001	Issued	08/041,395	3/31/93	6,452,435	9/19/95	9/19/95 Synchronized Clocks and Meda Players
8	K-001.62	Abandoned	08/528,253	9/14/85			FWC FILED AS PET. TO WITHDRAW. PET. DENIED (SEE ABOVE)
9	K-002	Abandonad	08/040,479	3/31/93			Time-Based Script Sequences
3	K-002.62	(senec	08/305,783	9/13/84	6,475,811	12/12/95	12/12/95 Rewinding Time-Based Script Sequences
2	K-002.60	Pending	08/510,131	8/1/8			Rewinding Time-Based Script Sequences
3	K-003	Issued	08/040,485	3/31/93	5,430,875	7/4/95	7/4/95 Operators
23	K-004	Abandoned	08/040,524	3/31/93			Behavior Objects
3	K-005	Abandoned	08/040,854	8/31/83			Costuma Objects
							Method and Apparatus for Physically Manifesting Costume
							Objects in A Flexible Manner, in An Object-Oriented
3	K-005.82	Pending	08/610,029	8/1/95			Computer Environment
3	K-008	Allowed	790,142/80	6/10/94			Compression and Decompression of Images
3	K-007	Pending	08/274,923	7/13/84			A Real-Time Computer "Garbage Collector"
<u> </u>	K-001WO	National	US94/03582	3/31/94			Synchronized Clocks and Media Players
₹	K-001AU	Pending	64189/94	9/22/95			Synchronized Gocke and Media Players
క	K-001CA	Pending	2,159,466	9/28/96			Synchronized Clocks and Media Players
В	K-001EP	Pending	9411751.9	9/25/95			Synchronized Clocks and Media Players
4,	K-001.JP	Pending	6(84)522403	3/31/04			Synchronized Clocks and Media Players
<b>2</b>	K-002WO	National	099C0/}8SN	3/31/94			Time-Based Spript Sequences
₹	K-002AU	Pending	64188/64	3/31/84			Time-Based Script Sequences
క	K-002CA	Pending	2,159,468	3/31/04			Time-Based Script Sequences
<u>B</u>	K-002EP	Pending	84811748	9/25/95			Time-Based Script Sequences
<b>B</b> ,	K-002JP	Pending	6(94)522381	3/31/84			Time-Based Script Sequences
12	K-003WO	National	US64/03590	3/31/84			Event Qualification and Notification
₹	K-003AU	Pending	04191/64	3/31/84			Event Qualification and Notification
క	K-003CA	Pending	2,134,684	3/31/84			Event Qualification and Notification
В	K-003EP	Pending	94811753.5	10/21/94			Event Qualification and Notification
وغ	K-003JP	Pending	6(94)522405	3/31/94			Event Qualification and Notification
					-		
뎕	K-004WD	Abandoned	US84/03588	3/31/94			Behavior Objects
FCI	K-005WO	National	US04/03589	3/31/94			Costume Objects
<b>₩</b>	K-005AU	Pending	64973/84	3/31/94			Contume Objects
క	K-005CA	Pending	2,157,467	9/28/94			Costume Objects
В	K-005EP	Panding	94912383	3/31/84			Costume Objects
وم	X-005JP	Pending	8(94)522405	3/31/94			Coetume Objects
							Scalable Pixel Distribution Image Coding System for
2	K-coewo	Pending	US86/05884	5/5/95			Compression and Decompression of Images



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assignment Branch Assistant Commissioner of Patents Washington, D.C. 20231

Re:

ASSIGNMENT OF KALEIDA PATENTS TO OTLC

### CERTIFICATE OF MAILING

I hereby certify that the attached Cover Sheet for Blanket Assignment, Assignment and Appendix A are being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner of Patents, Assignment Branch, Washington, D.C. 20231 on June 28, 1996.

U Judith Morrow

**Box Assignment Branch** Assistant Commissioner of Patents Washington, D.C. 20231



### COVER SHEET FOR A BLANKET ASSIGNMENT

ΤÜ

### KALEIDA LABS TO OBJECT TECHNOLOGY LICENSING CORPORATION

Assignment Branch

my

- 1. The patent application to which the attached assignment refers is (See Appendix A).
- A. Date of execution: 5/31/96
- B. Name of each inventor:
  - See Attached Appendix A 1.
- C. Title of invention:
  - 1. See Attached Appendix A
- 2. The parties conveying this interest are:
  - 1. Kaleida Labs, Inc.
- 3. The rights are being conveyed to:

Object Technology Licensing Corp., dba OTLC 10355 N. DeAnza Blvd. Cupertino, CA 95014-2000 Telephone No. (408) 777-5046

4. The accompanying document intends to accomplish:

X Assignment

5. Please address correspondence to:

John E. Hoel OTLC 10355 N. DeAnza Blvd. Cupertino, California 95014-2000 Telephone No. (408) 777-5046

Washington, D.C. 20231





UNITED STAIRS DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS

NOVEMBER 07, 1996

**PTAS** 

OTLC JOHN E. HOEL 10355 N. DEANZA BLVD. CUPERTINO, CA 95014-2000



### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/15/1996

REEL/FRAME: 8110/0585 NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

**ASSIGNOR:** 

KALEIDA LABS, INC.

DOC DATE: 05/31/1996

ASSIGNEE:

OBJECT TECHNOLOGY LICENSING CORP., DBA OTLC

10355 N. DEANZA BLVD.

CUPERTINO, CALIFORNIA 95014-2000

SERIAL NUMBER: 08041395

PATENT NUMBER: 5452435

FILING DATE: 03/31/1993 ISSUE DATE: 09/19/1995

SERIAL NUMBER: 08528253

FILING DATE: 09/14/1995

ISSUE DATE:

SERIAL NUMBER: 08040479

PATENT NUMBER:

PATENT NUMBER:

FILING DATE: 03/31/1993

ISSUE DATE:

SERIAL NUMBER: 08305793

FILING DATE: 09/13/1994 ISSUE DATE: 12/12/1995

PATENT NUMBER: 5475811



8110/0585 PAGE 2 .

SERIAL NUMBER: 08510131

PATENT NUMBER:

SERIAL NUMBER: 08040485

PATENT NUMBER: 5430875

SERIAL NUMBER: 08040524

PATENT NUMBER:

SERIAL NUMBER: 08040654

PATENT NUMBER:

SERIAL NUMBER: 08510029

PATENT NUMBER:

SERIAL NUMBER: 08241047

PATENT NUMBER: 5585944

SERIAL NUMBER: 08274923

PATENT NUMBER:

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US9403582

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US9403550

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US9403590

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US9403588

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US9403589

SERIAL NUMBER:

PATENT NUMBER:

PCT NUMBER: US9505694

FILING DATE: 10/13/1995

ISSUE DATE:

IU

FILING DATE: 03/31/1993

ISSUE DATE: 07/04/1995

FILING DATE: 03/31/1993

ISSUE DATE:

FILING DATE: 03/31/1993

ISSUE DATE:

FILING DATE: 08/01/1995

ISSUE DATE:

FILING DATE: 05/10/1994

ISSUE DATE: 12/17/1996

FILING DATE: 07/13/1994

ISSUE DATE:

FILING DATE:

ISSUE DATE:

MAYA BENNETT, EXAMINER ASSIGNMENT DIVISION

OFFICE OF PUBLIC RECORDS

### 29-Sep-03

## **Country Application**

Case Number: 01963-7422

Country: US

SubCase: 1

Assignee:

United States of America

Application Title: Real-time Computer "Garbage Collector"

Case Type: CON

Application Status: Pending

Application Number: 10/014470

Filing Date: 14-Dec-2001

**Patent Number:** 

**Issue Date:** 

**Publication Number:** 

**Publication Date:** 

**Priority Number:** 

**Priority Date:** 

Tax Schedule: LE

**Expiration Date:** 

**Recorded Date:** 

**Tax Start Date:** 

**Reel Number:** 

Frame:

**Client IDS:** 

**Managing Atty:** 

**Date Received:** 

Client Pays:  $\Box$ 

**Inventor Ref:** 

Agent:

**Agent Reference Number:** 

### **List Of User Actions**

Action(s) Due	Due Date		Action Taken
Copy of Executed Declaration	14-Dec-2001	Due Date	14-Dec-2001
Formal Drawings Filed	14-Dec-2001	Filed	14-Dec-2001
Req. for Non-Publication Filed	14-Dec-2001	Filed	14-Dec-2001
Serial Number Postcard Rec'd	26-Dec-2001	Received	26-Dec-2001
Preliminary Amendment Filed	14-Jan-2002	Filed	14-Jan-2002
Preliminary Amendment Filed	14-Feb-2002	Filed	14-Feb-2002
Information Disclosure Stmnt	14-Mar-2002	Due Date	14-Dec-2001
Filing Receipt Received	20-Mar-2002	Received	20-Mar-2002
Non-Publication Acknowledged	20-Mar-2002	Received	20-Mar-2002
Notice of Abandonment Rec'd	06-May-2003	Received	06-May-2003
Associate Power of Att. Filed	23-Sep-2003	Filed	23-Sep-2003
Confirm Abandonment	13-Dec-2003	Due Date	
Check Status of Application	20-Mar-2004	Due Date	
Status Inquiry Due	20-Jun-2004	Due Date	<del></del>

Remarks: Continuation of 08/274,923 filed 7/13/94 (Patent No. 6,341,293) Formerly 3048-7049US1